



## Uttar Pradesh Health Systems Strengthening Project – Status Update as on Nov 2013

### BACKGROUND

The Uttar Pradesh Health Systems Strengthening Project (UPHSSP); an initiative supported by the World Bank, aims to improve the efficiency, quality and accountability of health services delivery in Uttar Pradesh by strengthening the State Health Department's management and systems capacity.

The project has the following two components:

**Component 1: Strengthening the Department of Health's management and accountability systems. Building strategic planning functions in the Health Department**

**Component 2: Improve the Department of Health's capacity to perform its quality assurance role and more effectively engage the private sector.**

### PROGRESS UPDATE: OUTCOME INDICATORS (PHYSICAL TARGETS) OF THE PROJECT

Sl. No	Activities/Outcome Indicators	Current Progress as on 31.10.2013		
		5 Years	2013-14 Yr-2	
1	<b>Indicator-1:</b> Number of hospitals under the accreditation program producing data to monitor: service productivity, efficiency, quality, patient satisfaction, and grievance registration and redressal (efficiency, quality and accountability)-Hospitals covered under NABH-40 Nos.	90% i.e. 36 Hosp.	30% i.e. 12 Hosp.	<b>In Progress/ Likely to be Achieved</b> <ul style="list-style-type: none"> <li>QA Cell established and 75 % staff position in place. 1st Yr DLI # 7 achieved</li> <li>Procurement process for NABH Accreditation and Gap analysis completed and approval from PSC/PGB expected in Nov 2013</li> <li>14 hospitals have been prioritized; procurement of HR agency for staffing is in progress. WB NOC on RFP obtained, to be approved in PSC/PGB in Nov 2013.</li> </ul>
2	<b>Indicator-2:</b> Number of districts using the personnel information system for paying salaries of health workers (accountability and efficiency)- program in <b>total 75 Districts</b>	75% i.e. 45 Dist.	10% i.e. 8 Dist.	<b>In Progress/ Shall be Achieved</b> <ul style="list-style-type: none"> <li>PIS functional for doctors' data on other employees is being captured</li> <li>GO for linking salary disbursement to all employees using PIS issued</li> <li>Instructions by Director- Administration issued.</li> </ul>
3	<b>Indicator-3:</b> Number of districts with completed and published facility-based report cards detailing national health programs indicators and facility-level performance data (efficiency and accountability) -program in <b>total 75 Districts</b>	90% i.e. 68 Dist.	20% i.e. 15 Dist.	<b>In Progress/ Shall be Achieved</b> <ul style="list-style-type: none"> <li>DRC established and 75 % staff position in place. 1st Yr DLI # 2 achieved.</li> <li>Web based Health Report Card of 15 Districts Hospitals and 3 CMOs made online on project website.</li> <li>DG, M&amp;H has chosen to make this HRC universal in all 75 districts and orders issued and training initiated</li> <li>For HMIS-HIS the process of contracting a system integrator is in progress. Draft RFQ published on website for inputs.</li> </ul>
4	<b>Indicator-4 :</b> Number of Blocks of Primary Health Centres participating in the social accountability pilots for which a service delivery assessment has been completed and at least one corrective action by government is verified by the community (accountability)- <b>50 Blocks in 5 Districts.</b>	80% i.e. 40 Dist.	5 Dist	<b>In Progress/ Likely to be Achieved</b> <ul style="list-style-type: none"> <li>The Project Steering Committee found the earlier design unsustainable and hence the basic design of the social accountability project was redesigned and submitted to the World Bank and GoUP. Bank NOC received.</li> <li>The revised SA intervention will be implemented in 5 districts covering all blocks (50 blocks) of the district in first phase.</li> <li>SA project will be implemented directly working with the Panchayati Raj Institutions and through the govt system.</li> <li>Over 30,000 VHSNC members including Gram Pradhans, other VHSNC members, frontline health workers (ANMs, ASHAs and AWWs) are to be trained in these 50 blocks. Given the vast numbers, it is proposed to engage SIRD- an apex GoUP institution specialized in PRI related training and capacity building for series of capacity building/ training and IEC activities under the SA intervention.</li> <li>Capacity building/ curriculum outline and major contents developed</li> <li>Project evaluation framework developed with inputs from Impact Assessment team constituted by World Bank which is led by Duke University.</li> <li>EOI published; agencies shortlisted for undertaking baseline survey in intervention districts. TOR developed and bidding process will be initiated after due approval of PSC and WB in Nov 2013.</li> </ul>
5	<b>Indicator-5:</b> Number of facilities using performance based outsourcing of service contracts (efficiency and quality)- Only in Hospitals covered under NABH Accreditation Certification- <b>50 Nos.</b>	50 Hosp.	15 Hosp.	<b>In Progress/ Likely to be Achieved</b> <ul style="list-style-type: none"> <li>PPP Cell established and 75 percent staff position in place. DLI#6 achieved.</li> <li>– Performance based bid document prepared for outsourcing of cleaning and gardening services for 40 hospitals and sent to WB for approval. Approval from PGB/PSC shall be obtained in Nov 2013.</li> </ul>

Sl. No	Activities/Outcome Indicators	Current Progress as on 31.10.2013		
		5 Years	2013-14 Yr-2	
6	<b>Indicator-6:</b> Number of hospitals (Hospitals covered under NABH-40 Nos) under the accreditation program that have been certified for- (i) entry level pre-accreditation);	90% i.e. 36Hosp.	25% i.e. 10Hosp.	<b>In Progress/ Likely to be Achieved</b> <ul style="list-style-type: none"> <li>Hiring of Consultant/s for NABH Accreditation is in final stage of approval. Consultant is expected to be on board by 15.12.2013. After that the activity for Gap Analysis and NABH Accreditation process will start.</li> <li>Gaps will be filled after getting the report from Consultants</li> </ul>

#### DISBURSEMENT LINKED INDICATORS (DLIs) OF THE PROJECT for FY 2013

DLI number and Indicator*	Annual Target for FY 2013	DLI Value (USD)	Status
<b>DLI 2:</b> Percentage of districts with completed and published facility-based report cards detailing national health programs indicators and facility-level performance data	DRC established and 75% of staff in place with clear TOR; District report card formats developed; and Guidelines issued to the districts	USD 2 million	<b>DLI Achieved</b> Verification done by IIM, Kashipur. Approval for Reimbursement for USD-6 Million given by the World Bank and claim sent to the CAAA, GOI
<b>DLI 6:</b> Number of facilities using performance based contracts for non-clinical services (outsourcing to the private sector for housekeeping and laboratory services)	PPP cell established with at least 75% of staff in place with clear TOR; Performance based contract format developed for at least 2 outsourcing contracts	USD 2 million	
<b>DLI 7:</b> Percentage of hospitals under the accreditation program that have been certified for pre-entry level accreditation	QA cell established and 75% of staff in place with clear Terms of Reference	USD 2 million	
<b>DLI 4 :</b> Percentage of Primary Health Centres participating in the social accountability pilots for which a service delivery assessment has been completed and at least one corrective action by government is verified by the community	Pilot design developed, baseline survey completed and evaluation framework developed	USD 2 million	<b>Activity under progress</b>
<b>A Total of 3 DLIs against the annual total of 4 DLIs documented, verified and achieved. A Total claim of USD 6 Million approved by the World Bank. Claim sent to the CAAA, GOI</b>			

\* DLI numbers 1, 3 and 5 did not have annual targets for FY 2013

#### PROGRESS ON PROCUREMENT UNDER THE PROJECT

Type of Procurement	Progress
<b>Procurement (Consultancies)</b>	<ul style="list-style-type: none"> <li>No Objection received from the World Bank on procurement plan for FY 2013-14</li> <li>Technical Assistance Provider (TAP) Team Consultant hired &amp; in position</li> <li>Process for hiring Procurement Agent completed, to be presented in PGB for approval. PA expected to be on board by December, 2013</li> <li>Combined evaluation done for Procurement, Supply Chain Management Capacity Building Consultants. NO received from Bank. Proposal to be put up in PGB for approval. Consultant/s expected to be on board by December, 2013</li> <li>For hiring of Consultant for NABH Accreditation, Gap analysis - Financial proposals opened on 12.11.2013. Proposal has to be presented in PGB for approval. Consultant is expected on board by December, 2013</li> <li>Hiring of Internal Auditors is under process (EOI evaluated, RFP to be floated after PSC approval).</li> <li>Procurement process of HR agency for staffing of clinical and para medic staff in progress. WB No on RFP obtained, to be published after PSC-PGB approval</li> <li>Hiring of agency for baseline under SA progressing. EOIs evaluated and RFP under preparation- to be published after WB NO and PSC approval.</li> </ul>
<b>Procurement (Goods)</b>	<ul style="list-style-type: none"> <li>Renovation of PSU office and 4 Cells of the Medical Directorate completed.</li> <li>Computers and accessories procured for PSU and Procurement of Computer Accessories for Cells in process</li> </ul>
<b>Procurement (Services)</b>	<ul style="list-style-type: none"> <li>Bid Documents for outsourcing of pathological and house-keeping services prepared and NO obtained from the Bank. Tender process being started.</li> <li>RFP for hiring agency for third party validation of the Disbursement Linked Indicators (DLIs) for year one prepared and WB NO received. However, on concurrence from WB, the IIM Kashipur has been engaged in the interim for validation of DLIs.</li> <li>RFP documents for HR Agency, Event Management Agency, and Base-Line Study prepared. NO received from the Bank. Tender process to start after approval of PSC-PGB</li> </ul>

#### FINANCIAL PROGRESS OF THE PROJECT TILL 31.10.2013

Budget Provision for financial year: 2013-14		
Total Budget under Comp-1 & 2		Rs. 70.56 Crores
Expenditure & Reimbursement		
1	Expenditure in year 2013-14 till October, 2013	Rs. 03.26 Crores
2	<b>Cumulative Expenditure till 31.10.2013</b>	Rs. 07.79 Crores
3	Reimbursable Amount Due (Cumulative against Exp)	Rs. 06.63 Crores
4	Reimbursement Claims Received till date	Rs. 03.86 Crores
5	Reimbursement Claims in pipe line with CAAA	Rs. 02.38 Crores
6	DLI based Re-imburement Claim with CAAA (3 DLI-USD 6 Million)	Rs. 37.80 Crores
7	Claims for Oct., 2013 pending to be lodged	Rs. 00.39 Crores
<b>Statutory Audit by AG completed for FY 2011-12 and 2012-13 and Audit Report submitted to the World Bank.</b>		